

Prevalence of sleep disturbance in patients with low back pain

Saad M. Alsaadi · James H. McAuley ·
Julia M. Hush · Chris G. Maher

Received: 24 May 2010 / Revised: 17 September 2010 / Accepted: 9 December 2010
© Springer-Verlag 2010

Abstract Low back pain (LBP) is a common health condition that is often associated with disability, psychological distress and work loss. Worldwide, billions of dollars are expended each year trying to manage LBP, often with limited success. Recently, some researchers have reported that LBP patients also report sleep disturbance as a result of their LBP. However, as most of this evidence was obtained from highly selected groups of patients or from studies with small samples, high quality data on prevalence of sleep disturbance for patients with LBP are lacking. It is also unclear whether sleep disturbance is more likely to be reported by patients with recent-onset LBP than by patients with persistent LBP. Finally, it is not known whether high pain intensity, the most relevant condition-specific variable, is associated with higher rates of reported sleep disturbance. The present study aimed to determine the prevalence of reported sleep disturbance in patients with LBP. In addition, we aimed to determine whether sleep disturbance was associated with the duration of back pain symptoms and whether pain intensity was associated with reported sleep disturbance. Data from 1,941 patients obtained from 13 studies conducted by the authors or their colleagues between 2001 and 2009 were

used to determine the prevalence of sleep disturbance. Logistic regression analyses explored associations between sleep disturbance, the duration of low back symptoms and pain intensity. The estimated prevalence of sleep disturbance was 58.7% (95% CI 56.4–60.7%). Sleep disturbance was found to be dependent on pain intensity, where each increase by one point on a ten-point visual analogue scale (VAS) was associated with a 10% increase in the likelihood of reporting sleep disturbance. Our findings indicate that sleep disturbance is common in patients with LBP. In addition, we found that the intensity of back pain was only weakly associated with sleep disturbance, suggesting that other factors contribute to sleep problems for LBP patients.

Keywords LBP · Sleep · Disturbance · Pain · Prevalence · Chronic pain

Introduction

Low back pain (LBP) is a common, complex and difficult to manage health condition [1]. Approximately, 20% of the adult population experience an episode of LBP at any given time and estimates of lifetime prevalence are around 80% [2]. Despite intensive research, management is only moderately effective [3]. The economic burden of LBP is significant. For example, in the USA health-care expenditure for LBP is more than \$90 billion/year [4], in the UK it is \$17 billion/year [5] and in Australia \$1 billion/year [6]. Most of the costs of LBP are associated with persistent or chronic LBP, i.e. LBP which lasts for more than 3 months [6]. There are several important consequences of LBP including work loss [7], disability and depression [8]. Considerable attention has been applied to understanding and managing these problems [9], often with limited

S. M. Alsaadi (✉) · C. G. Maher
Musculoskeletal Division, The George Institute for International Health, The University of Sydney, PO Box M201, Missenden Road, Sydney, NSW 2050, Australia
e-mail: ssaadi@george.org.au

J. H. McAuley
Prince of Wales Medical Research Institute, Sydney, Australia

J. M. Hush
Faculty of Health Sciences, The University of Sydney, Sydney, Australia

success. Evidence is beginning to accumulate that patients with LBP also report significant problems with their sleep [10, 11].

Poor sleep is known to cause a range of physiological and psychological effects [12]. Over the long term, these effects can become severe and lead to serious health conditions such as depression, obesity, type-2 diabetes, hypertension and coronary artery disease [13, 14]. From a clinical perspective, the presence of sleep problems has implications for the management of LBP. For example, a recent study found that LBP patients who reported sleep disturbance were twice as likely to be hospitalised compared with those who did not [15]. Another research suggests that improved sleep quality might reduce the pain and daytime symptoms of patients with arthritis [16]. It is currently unclear how common sleep disturbance is for patients who are seeking care for their LBP, as estimates have been based on either highly selected groups of patients, such as the elderly or those attending specialist pain clinics [17–19], or small samples of chronic LBP patients [7, 20–22]. These studies are likely to provide prevalence estimates that may not generalise to more heterogeneous samples of patients who are seeking care for their LBP. High quality data on the prevalence of sleep disturbance in this group of patients will determine whether research resources should be directed towards understanding the relationship between these conditions. Differences in prevalence rates between patients with acute or persistent pain may help identify a patient group that is at risk of developing chronic sleep problems. Finally, investigating the relationship between pain intensity and sleep disturbance may provide directions for the design of interventions to manage these conditions.

The aim of this study was to investigate sleep disturbance in a large heterogeneous sample of patients seeking care for their LBP. Specifically, we aimed to determine:

1. the prevalence of reported sleep disturbance in people with LBP;
2. whether sleep disturbance in patients seeking care for their LBP was associated with the duration of their LBP symptoms or with pain intensity.

Method

Study design

Individual patient data were extracted from 13 studies previously conducted by the authors or their colleagues between 2001 and 2009 [23–32]. Studies were eligible for inclusion if they contained the “I sleep less well because of my back” item of the Roland and Morris Disability

Questionnaire (RMDQ). Patients were eligible for inclusion if they had non-specific LBP. There was no restriction on age, gender or duration of symptoms.

Data extraction and harmonisation

All data were extracted from the locked electronic data files for each study. The individual participant data extracted from each study included descriptive data (age, gender), the RMDQ item assessing sleep “I sleep less well because of my back”, the RMDQ total score and pain intensity.

Sleep item

The response format of the original RMDQ item assessing sleep disturbance is dichotomous (yes/no). In four studies, a multi-level RMDQ was used which permits a response on a scale from 0 (completely disagree) to 10 (completely agree). To harmonise the data, multi-level RMDQ responses equal to or greater than 5 were re-coded as ‘yes’ and less than 5 as ‘no’ [33].

Roland and Morris total score

Four studies used multi-level RMDQ total score, which ranges from 0 (low level) to 240 (high level); one study used RMDQ-18. To harmonise the data with the RMDQ24, multi-level RMDQ data were divided by 10 and RMDQ-18 were multiplied by 1.33.

Pain intensity

Pain intensity was assessed in seven studies [23, 26–28, 30–32], six of which used a Numerical Rating Scale (NRS), where 0 = no pain and 10 = worst pain imaginable. Three studies [23, 24, 32] assessed pain intensity using an item from the Short Form 36 (SF 36) [34]. To harmonise data, responses on this questionnaire were multiplied by 1.67. Two studies [23, 30, 32] used pain item from Oswestry [35] and CORE [36] questionnaires and, to harmonise the data, responses were multiplied by 2.

Data analysis

Responses to the item: “I sleep less well because of my back” were used to determine the prevalence of sleep problems for patients with LBP. A series of logistic regression analyses were performed to explore associations between pain intensity and duration of symptoms, and sleep disturbance. Analyses were performed on SPSS version 17 (SPSS Inc., Chicago, IL). The 95% confidence intervals (CIs) for the prevalence estimates were calculated using the Wilson method from the CIA software [37, 38].

Results

Table 1 describes the characteristics of the 13 included studies. All studies were conducted in Australia except one study that was conducted in Thailand and one trial partly conducted in New Zealand. The 13 included studies contained data on 1,941 patients. Five patients were excluded

as they did not answer the question on sleep disturbance, leaving a total of 1,936 included in the study. The mean (SD) age of the study sample was 47.5 (15.3) years with 45.5% female. The mean (SD) total score of RMDQ was 10.8 (5.7); most participants had persistent LBP (73.1% of the total sample), and the mean (SD) pain score on a 0–10 NRS was 6.1 (2.1) (Table 2). As three studies [23–25] did

Table 1 Studies and participant characteristics

Study	Patient characteristics	+ve response to RMDQ sleep item
Shaw 2001(a) <i>N</i> = 48 Cross-sectional	Sex (female) = 50% Mean age = 41.5 years (SD 16) Duration: 2.4 weeks (0.7) Setting = PC, country = Aust Pain intensity = 5.3 (2.1) (ODQ item 1)♀	Baseline: 27/48 (56.3%)
Shaw 2001(b) <i>N</i> = 43 Cross-sectional	Sex (female) = 58% Mean age = 48 years (SD 16) Duration: 2.3 weeks (0.7) Setting = PC, country = Aust Pain intensity = 6.8 (2.2) (CORE 1) ^a	Baseline: 20/43 (46.5%)
Shaw 2001(c) <i>N</i> = 76 Cross-sectional	Sex (female) = 56% Mean age = 47.4 years (SD 16) Duration: 2.3 weeks (0.8) Setting = PC, country = Aust Pain intensity (bpSF-36) ^b = 4 (2.1)	Baseline: 39/76 (51.4%)
Shaw 2001(d) <i>N</i> = 34 Cross-sectional	Sex (female) = 65% Mean age = 44.3 years (SD 13) Duration: 2.5 weeks (0.6) Setting = PC, country = Aust Pain intensity = N/A	Baseline: 14/34 (41.2%)
Chansirinukor 2004 <i>N</i> = 113 Cross-sectional	Sex (female) = 55% Mean age = 51 years (SD 15.8) Duration: 2 months (0.8) Setting = PC, country = Aust Pain intensity = N/A	Baseline: 59/113 (52%) Follow-up: 39/113 (34.5%)
Chansirinukor 2005 <i>N</i> = 143 Cross-sectional	Sex (female) = 26.2% Mean age = 37.8 (SD 9.7) Duration: 4 months (N/A) Setting = PC patients files, country = Aust Pain intensity = 5.5 (2.2)	Baseline: 85/143 (59.4%) Follow-up: 70/143 (49%)
Chansirinukor 2003 <i>N</i> = 259 Cross-sectional	Sex (female) = 55% Mean age = 45 (SD ±13.7) Duration: 57% >7 weeks Setting = PC patients, country = Thailand Pain = N/A	Baseline: 132/259 (51%) Follow-up: 68/259 (26%)
Pengel 2007 <i>N</i> = 259 RCT	Sex (female) = 48% Mean age = 49.9 (SD ±15.8) Duration: 6–8 weeks Setting = PC, country = Aust and NZ Pain intensity = 5.4 (SD 1.9)	Baseline: 137/259 (52%) 6 weeks: 48/232 (20.7%) 12 weeks: 50/234 (21.5%), 52 weeks: 54/231 (23.4%)

Table 1 continued

Study	Patient characteristics	+ve response to RMDQ sleep item
Hancock 2007 <i>N</i> = 240 RCT	Sex (female) = 44% Mean age = 40.7 (SD ±15.6) Duration: <6 weeks Setting = PC patients, country = Aust Pain intensity = 6.5 (SD 1.7)	Baseline: 154/239 (64.4%) 7 days: 91/237 (38.4%) 14 days: 56/237 (23.6%) 28 days 34/235 (14.5%) 84 days: 23/235 (9.8%)
Ferreira 2006 <i>N</i> = 240 RCT	Sex (female) = 63% Mean age = 53 (SD ±15.3) Duration: >3 months Setting = PC patients, country = Aust Pain intensity = 6.3 (2)	Baseline: 156/239 (65.3%) 8 weeks: 79/221 (35.7%) 6 months: 79/215 (36.7%), 12 months: 85/212 (40%)
L.Costa 2009 <i>N</i> = 184 RCT	Sex (female) = 50% Mean age = 47 (±14.6) Duration: <6 weeks Setting = PC patients, country = Aust Pain intensity (bpSF-36) = 6.6 (1.9)	Baseline: 105/148 (71%) 7 days: 54/139 (38.8) 21 days: 27/139 (19.4)
Menezes 2007 <i>N</i> = 406 RCT	Sex (female) = 47.8% Mean age = 44 (SD 13.9) Duration: 3 months Setting = PC, country = Aust Pain intensity = 5.7 (1.8)	Baseline: 79/184 (43%) 12 months: 3/172 (1.7%)
Costa 2008 <i>N</i> = 154 Cross-sectional	Sex (female) = 47% Mean age = 53 (SD ±13) Duration: 330 weeks (SD 392) Setting = PC patients, country = Aust Pain intensity = 5.1 (2.7)	Baseline: 96/154 (62%) 8 weeks: 70/152 (46%) 6 months: 75/145 (51%) 12 months: 81/145 (56%)

PC Primary care, Aus Australia, ODQ Oswestry disability questionnaire

^a CORE, LBP outcome measure item 1, ^b SF-36, pain item SF-36

Table 2 Total participants' characteristics of age, gender, pain intensity, pain duration and Roland and Morris total score

Character	Mean (SD)/percentage
Age (years)	46.9 (15.3)
Gender (female)	45.5%
Pain intensity (scale range 0–10)	6.1 (2.1)
Pain duration (persistent pain)	73%
RM total score	10.8 (5.7)

not include a question on pain intensity, relationships between pain intensity and sleep disturbance were found for a sub-group of 1,511 patients from 11 studies. The process of data extraction is shown in Fig. 1.

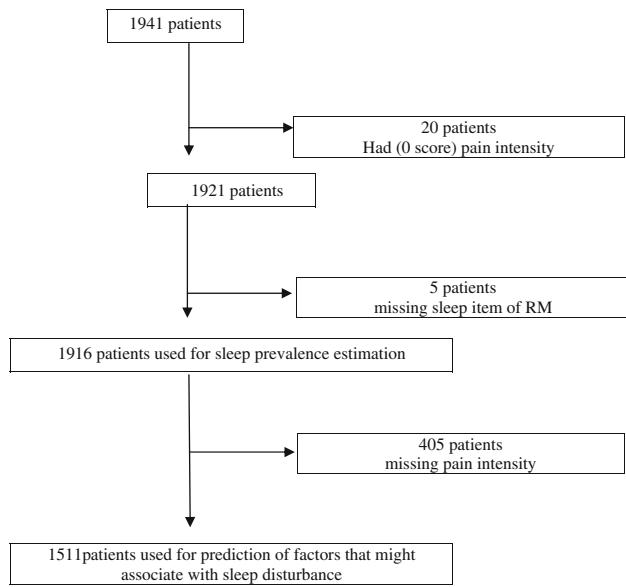
Of 1,936 participants, 1,128 reported that their sleep was disturbed by their back pain. The estimated prevalence of sleep disturbance was therefore 58.9% (95% CI 56.4–60.7%). This finding was similar across the 13 included studies, with 11 of the 13 studies reporting more

than 50% of patients with sleep disturbance (range 43–70%), Fig. 2.

Logistic regression showed that pain intensity and pain duration were significantly associated with sleep disturbance (Table 3). Each increase of pain intensity by one point on a VAS was associated with a 10% increase in the likelihood of reporting sleep disturbance. Pain duration was significantly ($p = 0.017$) associated with sleep disturbance (63% of acute and 57% of persistent pain patients reported poor sleep).

Discussion

The primary aim of this study was to determine the prevalence of sleep disturbance in a large, heterogeneous sample of patients who were seeking care for their LBP. Using the sleep item from the Roland and Morris Disability Questionnaire “I sleep less well because of my back”, we found that 58.9% (95% CI 56.4–60.7%) of the participants

**Fig. 1** Process of data extraction and analysis

reported that their back pain disturbed their sleep. This is higher than the prevalence rates reported in previous studies conducted in smaller more homogeneous samples where the rates ranged from 50 to 55% [7, 11, 20, 21].

Given the effects of poor sleep, for example increased fatigue, daytime sleepiness and low mood [12], the presence of disturbed sleep is likely to lead to poor outcomes and to complicate the management of LBP. For example, in a recent study, patients with LBP who reported sleep disturbance were more likely to attend a hospital for their low back pain than their peers without sleep disturbance [15]. Although there is some evidence that managing sleep disturbance of patients with arthritis leads to improved outcomes and

reductions in pain intensity [16], it is not known whether managing sleep disturbance improves low back pain outcomes. Given the prevalence of sleep disturbance for patients with low back pain and its likely effects, it would seem prudent to ensure that sleep problems are properly assessed and managed for patients with low back pain.

Previous studies on low back pain and sleep disturbance were conducted on patients with persistent pain [7, 19, 20, 22]. Our study was the first to consider sleep disturbance in patients with acute LBP. We found that the rates of sleep disturbance were high in patients with acute and persistent low back pain, which suggests that sleep disturbance does not develop with long-term pain, but rather presents early in the condition. This finding also suggests that factors other than pain duration may be responsible for reports of sleep disturbance.

The aspect of low back pain that is most likely to be associated with sleep disturbance is pain intensity. Indeed, previous research has found that patients with low back pain who report sleep disturbance also tend to report high pain intensity compared to those who do not [21, 39]. We also found that patients who reported disturbed sleep tended to report higher pain intensity than those without disturbed sleep; however, the relationship was weak (an increase in pain intensity by one point on the NRS, associated with a 10% increase in the likelihood of reporting sleep disturbance). This finding suggests that pain intensity may not be an appropriate target for effective management of sleep disturbance of patients with low back pain.

Some researchers have suggested that sleep disturbance in patients with pain is more strongly associated with psychological factors such as depression, anxiety and poor mood than with pain intensity [21, 40]. Further research on patients with low back pain is required to delineate these

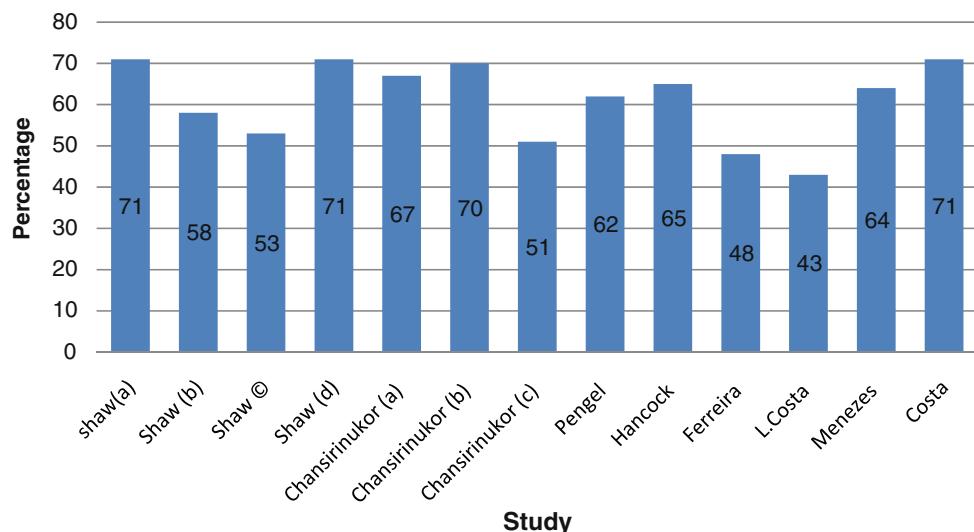
Fig. 2 Prevalence of sleep disturbance within individual studies

Table 3 Multivariate logistic regression predicting sleep disturbance

Predictor	Logistic regression		
	Odds ratio	95% CIs	p value
Pain intensity (0–10) scale	1.100	(1.05–1.16)	0.000
Duration (0 = acute/>1 persistent)	1.287	(1.04–1.58)	0.017

complex relationships so that effective management can be achieved.

Finally, although this study contributed to important findings in the LBP and sleep domain through a large sample, sleep disturbance was measured with a single item, which may not provide detailed understanding of which aspects of sleep are disturbed. In addition, the cross-sectional nature of our study meant that we were limited in exploring causal relationships.

Conclusion

This study found that there was a high prevalence of sleep disturbance in patients with LBP. Both acute and persistent LBP patients equally experience poor sleep. Pain intensity was not highly associated with sleep disturbance. Further research to determine factors that might be associated with sleep disturbance in LBP patients is worthwhile.

Acknowledgments Mr. Alsaadi is a PhD student who has supported by the University of Dammam, Kingdom of Saudi Arabia.

Conflicts of interest The authors of this study certify that no actual or potential conflicts of interest in relation to this study exist.

References

- Manchikanti L, Singh V, Datta S, Cohen SP, Hirsch JA (2009) Comprehensive review of epidemiology, scope, and impact of spinal pain. *Pain Physician* 12:E35–E70
- Walker BF (2000) The prevalence of low back pain: a systematic review of the literature from 1966 to 1998. *J Spinal Disord* 13:205–217
- Machado LAC, Kamper SJ, Herbert RD, Maher CG, McAuley JH (2009) Analgesic effects of treatments for non-specific low back pain: a meta-analysis of placebo-controlled randomized trials. *Rheumatology* 48:520–527
- Luo X, Pietrobon R, Sun SX, Liu GG, Hey L (2004) Estimates and patterns of direct health care expenditures among individuals with back pain in the United States. *Spine* 29:79–86
- Maniadakis N, Gray A (2000) The economic burden of back pain in the UK. *Pain* 84:95–103
- Walker BF, Muller R, Grant WD (2003) Low back pain in Australian adults: the economic burden. *Asia Pac J Public Health* 15:79–87
- Marty M, Rozenberg S, Duplan B, Thomas P, Duquesnoy B, Allaert F (2008) Quality of sleep in patients with chronic low back pain: a case-control study. *Eur Spine J* 17:839–844
- Tucer B, Yalcin BM, Ozturk A, Mazicioglu MM, Yilmaz Y, Kaya M (2009) Risk factors for low back pain and its relation with pain related disability and depression in a Turkish sample. *Turk Neurosurg* 19:327–332
- Cohen SP, Argoff CE, Carragee EJ (2008) Management of low back pain. *BMJ* 337:a2718
- Hush JM, Refshauge K, Sullivan G, De Souza L, Maher CG, McAuley JH (2009) Recovery: what does this mean to patients with low back pain? *Arthritis Rheum* 61:124–131
- Tang NKY, Wright KJ, Salkovskis PM (2007) Prevalence and correlates of clinical insomnia co-occurring with chronic back pain. *J Sleep Res* 16:85–95
- Van Dongen HP, Maislin G, Mullington JM, Dinges DF (2003) The cumulative cost of additional wakefulness: dose-response effects on neurobehavioral functions and sleep physiology from chronic sleep restriction and total sleep deprivation. [Erratum appears in *Sleep*. 2004 Jun 15;27(4):600]. *Sleep* 26:117–126
- Haack M, Mullington JM (2005) Sustained sleep restriction reduces emotional and physical well-being. *Pain* 119:56–64
- Yaggi HK, Araujo AB, McKinlay JB (2006) Sleep duration as a risk factor for the development of type 2 diabetes. *Diabetes Care* 29:657–661
- Kaila-Kangas L, Kivimäki M, Härmä M, Riihimäki H, Luukkonen R, Kirjonen J, Leino-Arjas P (2006) Sleep disturbances as predictors of hospitalization for back disorders—a 28-year follow-up of industrial employees. *Spine* 31:51–56
- Davis GC, Davis GC (2003) Improved sleep may reduce arthritis pain. *Holist Nurs Pract* 17:128–135
- Harman K, Pivik RT, D'Eon JL, Wilson KG, Swenson JR, Matsunaga L (2002) Sleep in depressed and nondepressed participants with chronic low back pain: electroencephalographic and behaviour findings. *Sleep* 25:775–783
- Rudy TE, Weiner DK, Lieber SJ, Slaboda J, Boston JR (2007) The impact of chronic low back pain on older adults: a comparative study of patients and controls. *Pain* 131:293–301
- Marin R, Cyhan T, Miklos W, Marin R, Cyhan T, Miklos W (2006) Sleep disturbance in patients with chronic low back pain. *Am J Phys Med Rehabil* 85:430–435
- Atkinson JH, Ancoli-Israel S, Slater MA, Garfin SR, Gillin JC (1988) Subjective sleep disturbance in chronic back pain. *Clin J Pain* 4:225–232
- O'Donoghue GM, Fox N, Heneghan C, Hurley DA (2009) Objective and subjective assessment of sleep in chronic low back pain patients compared with healthy age and gender matched controls: a pilot study. *BMC Musculoskelet Disord* 10:122
- Shaw B (2001) Evaluation of the multi-level RM-18: a modified version of the Roland Morris Disability Questionnaire. School of Physiotherapy, Faculty of Health Sciences. The University of Sydney, Sydney, p 104
- Chansirinukor W, Maher CG, Latimer J (2003) Comparison of two Thai versions of the Roland–Morris Disability Questionnaire. *Thai J Phys Therap* 25:41–62
- Chansirinukor W, Maher CG, Latimer J (2004) Evaluation of the multi-level Roland–Morris Disability Questionnaire. *Physiother Theory Pract* 20:1–15
- Chansirinukor W, Maher CG, Latimer J, Hush J (2005) Comparison of the functional rating index and the 18-item Roland–Morris Disability Questionnaire: responsiveness and reliability. *Spine* 30:141–145
- Pengel LHM, Refshauge KM, Maher CG, Nicholas MK, Herbert RD, McNair P (2007) Physiotherapist-directed exercise, advice, or both for subacute low back pain: a randomized trial. *Ann Intern Med* 146:787–796
- Hancock MJ, Maher CG, Latimer J, McLachlan AJ, Cooper CW, Day RO, Spindler MF, McAuley JH (2007) Assessment of diclofenac or spinal manipulative therapy, or both, in addition to

- recommended first-line treatment for acute low back pain: a randomised controlled trial. *Lancet* 370:1638–1643
28. Ferreira PH, Ferreira ML, Maher CG, Herbert RD, Refshauge K (2006) Specific stabilisation exercise for spinal and pelvic pain: a systematic review. *Aust J Physiother* 52:79–88
 29. Costa Lda C, Maher CG, McAuley JH, Hancock MJ, Herbert RD, Refshauge KM, Henschke N (2009) Prognosis for patients with chronic low back pain: inception cohort study. *BMJ* 339:b3829
 30. Menezes Costa LC, Henschke N, Maher CG, Refshauge KM, Herbert RD, McAuley JH, Das A, Costa LOP (2007) Prognosis of chronic low back pain: design of an inception cohort study. *BMC Musculoskeletal Disorders*
 31. Costa LOP, Maher CG, Latimer J, Ferreira PH, Ferreira ML, Pozzi GC, Freitas LMA (2008) Clinimetric testing of three self-report outcome measures for low back pain patients in Brazil. Which one is the best? *Spine* 33:2459–2463
 32. Chansirinukor W (2004) Optimising assessment of low back pain. School of Physiotherapy. The University of Sydney, Sydney, p 160
 33. Ware J Jr, Kosinski M, Keller SD (1996) A 12-Item Short-Form Health Survey: construction of scales and preliminary tests of reliability and validity. *Med Care* 34:220–233
 34. Fairbank JC, Pynsent PB (2000) The Oswestry Disability Index. *Spine* 25:2940–2952 (discussion 2952)
 35. Deyo RA, Battie M, Beurskens AJ, Bombardier C, Croft P, Koes B, Malmivaara A, Roland M, Von Korff M, Waddell G (1998) Outcome measures for low back pain research. A proposal for standardized use. *Spine* 23:2003–2013
 36. Altman GD, Bryant TN, Gardner MJ, Machin D (2000) Statistics with confidence—confidence intervals and statistical guidelines, 2nd edn. British Medical Journal, London
 37. Newcombe RG (1998) Two-sided confidence intervals for the single proportion: comparison of seven methods. *Stat Med* 17:857–872
 38. Marin R, Cyhan T, Miklos W (2006) Sleep disturbance in patients with chronic low back pain. *Am J Phys Med Rehabil* 85:430–435
 39. Smith MT, Perlis ML, Carmody TP, Smith MS, Giles DE (2001) Presleep cognitions in patients with insomnia secondary to chronic pain. *J Behav Med* 24:93–114